

On accuracy of averaging in plane stick-slip problems and tectonic earthquake modeling

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1. Tectonic earthquakes are caused by displacement breaks (DB) at large distances along active faults (AF), which are the layers of thickness $h_f \sim 100$ m with strongly destructed (fissured) fluid-filled rocks, with dilatancy and microcracks interaction. Actually, large DBs occur in much thinner ultracataclastic layers of $h_u \sim 1-10$ mm thickness. So, at $h_T \gg h_f$ scales tectonic blocks (TB, zones outside AF) can be considered as continuous elastic (or elastic-viscous) media (EM), macrocracks can be considered as plane, and conditions inside AFs, regulating stick-slip processes, as boundary conditions at slip surface. For chains of TBs of $L_T \gg h_T$ scale various models of chains of movement equations of point masses (EPM) are typically used, interacting one with another and with state parameters inside AF-DB, such as Barridge-Knopoff model with Rate&State friction laws, and others. The question arises: how far EPM solutions differ from exact solutions of corresponding initial-boundary value problems?

2. Let's consider that EM inside TB is linear isotropic homogeneous flat and has only one component of tectonic displacements $u_i = \delta_{ii} u(x^{(1)}, x^{(2)}, t)$ along AF ($x^{(2)}$ – across AF). Then

$$u = u^{(1)}(x^{(1)}, t) + u^{(2)}(x^{(2)}, t), \quad {}^{(k)}r^2 u^{(k)} = u_{,kk}^{(k)}, \quad (k=1,2), \quad (1)$$

$$\text{where } {}^{(1)}r \equiv r = \partial_t, \quad {}^{(2)}r = {}^{(1)}r q, \quad q = \tau^{(2)} / \tau^{(1)}, \quad \tau^{(k)} = L^{(k)} / c^{(k)}, \quad c^{(1)} = \sqrt{\frac{\Lambda + 2G}{\rho}} \quad \text{and} \quad c^{(2)} = \sqrt{\frac{G}{\rho}},$$

$L^{(1)}$ and $L^{(2)}$ are longitudinal and transverse velocities and TB dimensions (dimensionless t , $x^{(1)}$, $x^{(2)}$ measured in $\tau^{(1)}$, $L^{(1)}$, $L^{(2)}$). One (1) leads to 6 equations

$$V^{(k)\pm} = \pm \frac{1}{N^{(k)}} (M^{(k)} u^{(k)\pm} - \bar{u}^{(k)}), \quad {}^{(k)}r^2 \bar{u}^{(k)} = V^{(k)+} - V^{(k)-} \quad (2)$$

for 10 values $u^{(k)\pm} = u^{(k)}(1/0, t)$, $V^{(k)\pm} = u_{,k}^{(k)}(1/0, t)$, $\bar{u}^{(k)} = \int_0^1 u^{(k)}(x^{(k)}, t) dx^{(k)}$, where $M^{(k)} = M({}^{(k)}r)$, $M(r) = \text{sh}(r) / r$, $N(r) = (\text{ch}(r) - 1) / r^2$. For (1), boundary conditions are 4 relations for surface-averaged displacements $\bar{u}^{(1)\pm} = u^{(1)\pm} + \bar{u}^{(2)}$, $\bar{u}^{(2)\pm} = u^{(2)\pm} + \bar{u}^{(1)}$ and stresses $\bar{\sigma}_{11}^{(1)\pm} = R^{(1)} V^{(1)\pm}$, $\bar{\sigma}_{12}^{(2)\pm} = R^{(2)} V^{(2)\pm}$, $\bar{\sigma}_{22}^{(2)\pm} = R^{(22)} (u^{(1)+} - u^{(1)-})$, where $R^{(1)} = (\Lambda + 2G) / L^{(1)}$, $R^{(2)} = G / L^{(2)}$, $R^{(22)} = \Lambda / L^{(1)}$.

For $N > 2$ blocks with normal conditions at inner bounds ($\bar{u}_n^{(1)+} = \bar{u}_{n+1}^{(1)-}$, $\bar{\sigma}_{11n}^{(1)+} = \bar{\sigma}_{11(n+1)}^{(1)-}$), (2) results in

$$\sum_{j=-1}^1 (K_{nj}^{(1)} \bar{u}_{n+j}^{(1)} + K_{nj}^{(2)} \bar{u}_{n+j}^{(2)}) = 0, \quad n = 1, \dots, N-1, \quad (3)$$

$$\text{where } K_{n,\pm 1}^{(1)} = -\varphi_n^{\pm} \mu_{n\pm 1}, \quad K_{n,0}^{(1)} = {}^{(1)}r_n^2 + (\varphi_n^+ + \varphi_n^-) \mu_n, \quad K_{n,\pm 1}^{(2)} = -\varphi_n^{\pm}, \quad K_{n,0}^{(2)} = \varphi_n^+ + \varphi_n^-,$$

$$\varphi_n^{\pm} = \frac{l_n}{l_n \eta_n + l_{n\pm 1} \eta_{n\pm 1}}, \quad \eta_n = \eta({}^{(1)}r_n), \quad \mu_n = \mu({}^{(1)}r_n), \quad \eta(r) = \frac{N(r)}{M(r)}, \quad \mu(r) = \frac{1}{M(r)}, \quad l_n = 1 / R_n^{(1)}.$$

Chain of equations (3) (plus related equations resulting from external boundary conditions) is accurate, if one knows infinite number of initial values. If one knows only N_0 initial values for each TB, then operators $K_{nj}^{(k)}$ must be presented as series to order $O({}^{(k)}r_n^{N_0})$ in vicinities of $N * N_0$ first exact eigenvalues, depending on external boundary conditions, most important of which are those at AF-DB boundaries, «switching» slip and stick modes and connecting DBs, the stresses at AF-DB, and other state parameters inside AF-DB. The resulting chain of

shortened equations (3) also leads to accurate solutions for N^*N_0 values considered. Mass-averaged displacements only are considered in EPM models, so their solutions cannot be accurate for concrete boundary value problems. For instance, EPM models' solutions with $N_0=2$ have relative errors $\geq 20\%$ (!) for inner blocks.

3. Consider one TB with $V^{(1)\pm} = 0$, then $u^{(1)} \equiv 0$ ($\Rightarrow u \equiv u^{(2)}(y, t)$). Let $u^- \equiv 0$ and consider slip mode with $V^+ = V_f(W)$, where $W \equiv \dot{a} = V_p - \dot{u}^+$, $V_p \sim 0.1-15$ cm/year is given mean velocity of another side of AF.

Then (2) leads to equations: $\text{chr} \cdot u^+ = M \cdot V_f(W)$, $M\bar{u} = Nu^+$ ($r = {}^{(2)}r$), which solutions for linearized

$V_f = V_{f0} - \gamma_f \dot{u}^+$ are $u^+ = V_{f0} + \text{Re} \sum A_k e^{r_k t}$, $\bar{u} = \frac{V_{f0}}{2} + \text{Re} \sum A_k \frac{N(r_k)}{M(r_k)} e^{r_k t}$, where $r_k = -\lambda_f(\gamma_f) + i\omega_k$,

$\lambda_f(\gamma_f) = \frac{1}{2} \ln \left| \frac{1 + \gamma_f}{1 - \gamma_f} \right|$, $\omega_k = \{\pi(1/2 + k), |\gamma_f| < 1; \pi k, |\gamma_f| > 1\}$. If $|\gamma_f| < 1$ and only $u^+(0)$, $\dot{u}^+(0)$ are

known, then shortened equation: $\frac{1}{\Omega^2} \ddot{u}^+ + \frac{2\lambda_f}{\Omega^2} \dot{u}^+ + u^+ = V_{f0}$, $\Omega = |r_1| = \sqrt{\frac{\pi^2}{4} + \lambda_f^2(\gamma_f)}$ gives accurate solution

$u^+(t)$. But solutions of connecting EPMs (for $u^+ = 2\bar{u}$): $\frac{1}{\tilde{\Omega}^2} \ddot{u}^+ + \frac{2\gamma_f}{\tilde{\Omega}^2} \dot{u}^+ + u^+ = V_{f0}$, where

$\tilde{\Omega} = \sqrt{k/m} = \text{const}$, (k and m – elasticity coefficient and mass), have infinitely large errors (!) when $|\gamma_f| \rightarrow 1$.

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